

AFRL-SN-WP-TP-2005-102

**WAFER LEVEL micro-
ENCAPSULATION**

**David I. Forehand
Charles L. Goldsmith**

**MEMtronics Corporation
3000 Custer Road, Suite 270-400
Plano, TX 75075**



JANUARY 2005

Approved for public release; distribution is unlimited.

STINFO FINAL REPORT

**SENSORS DIRECTORATE
AIR FORCE RESEARCH LABORATORY
AIR FORCE MATERIEL COMMAND
WRIGHT-PATTERSON AIR FORCE BASE, OH 45433-7320**

NOTICE

Using Government drawings, specifications, or other data included in this document for any purpose other than Government procurement does not in any way obligate the U.S. Government. The fact that the Government formulated or supplied the drawings, specifications, or other data does not license the holder or any other person or corporation; or convey any rights or permission to manufacture, use, or sell any patented invention that may relate to them.

This report has been reviewed and is releasable to the National Technical Information Service (NTIS). It will be available to the general public, including foreign nationals.

This technical report has been reviewed and is approved for publication.

/s/

JOHN L. EBEL
Electron Devices Branch
Aerospace Components Division

/s/

KENICHI NAKANO, Chief
Electron Devices Branch
Aerospace Components Division

/s/

ROBERT T. KEMERLEY, Chief
Aerospace Components Division
Sensors Directorate

This report is published in the interest of scientific and technical information exchange and does not constitute approval or disapproval of its ideas or findings.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

1. REPORT DATE (DD-MM-YY) January 2005			2. REPORT TYPE Conference Paper Preprint		3. DATES COVERED (From - To) 08/23/2003 – 01/15/2005	
4. TITLE AND SUBTITLE WAFER LEVEL micro-ENCAPSULATION					5a. CONTRACT NUMBER F33615-03-C-7003	
					5b. GRANT NUMBER	
					5c. PROGRAM ELEMENT NUMBER 69199F	
6. AUTHOR(S) David I. Forehand Charles L. Goldsmith					5d. PROJECT NUMBER ARPS	
					5e. TASK NUMBER ND	
					5f. WORK UNIT NUMBER AN	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) MEMtronics Corporation 3000 Custer Road, Suite 270-400 Plano, TX 75075					8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Sensors Directorate Air Force Research Laboratory Air Force Materiel Command Wright-Patterson AFB, OH 45433-7320					10. SPONSORING/MONITORING AGENCY ACRONYM(S) AFRL/SNDD	
					11. SPONSORING/MONITORING AGENCY REPORT NUMBER(S) AFRL-SN-WP-TP-2005-102	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution is unlimited.						
13. SUPPLEMENTARY NOTES Report contains color.						
14. ABSTRACT Wafer-level micro-encapsulation is an innovative, low-cost, wafer-level packaging method for encapsulating RF MEMS switches. This zero-level packaging technique has demonstrated < 0.1 dB package insertion loss up through 110 GHz and accounts for only 28% of the total packaged RF MEMS circuit cost. This article overviews the processes, measurements, and testing methods used for determining the integrity and performance of individual encapsulated RF MEMS packages.						
15. SUBJECT TERMS Microelectromechanical Systems (MEMS), Radio Frequency (RF), Microwave Devices						
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT: SAR	18. NUMBER OF PAGES 10	19a. NAME OF RESPONSIBLE PERSON (Monitor) John L. Ebel 19b. TELEPHONE NUMBER (Include Area Code) (937) 255-1874 x3462	
a. REPORT Unclassified	b. ABSTRACT Unclassified	c. THIS PAGE Unclassified				

Standard Form 298 (Rev. 8-98)
Prescribed by ANSI Std. Z39-18

Wafer Level micro-Encapsulation

David I. Forehand and Charles L. Goldsmith

MEMtronics Corporation
Plano, Texas, USA 75075
dforehand@memtronics.com

Abstract: *Wafer-level micro-encapsulation is an innovative, low-cost, wafer-level packaging method for encapsulating RF MEMS switches. This zero-level packaging technique has demonstrated < 0.1 dB package insertion loss up through 110 GHz and accounts for only 28% of the total packaged RF MEMS circuit cost. This article overviews the processes, measurements, and testing methods used for determining the integrity and performance of individual encapsulated RF MEMS packages.*

Keywords: RF MEMS; low loss; packaging; wafer-level; hermeticity; humidity.

Introduction

RF MEMS switch reliability, packaging, and cost issues severely limit their use in military, space, and commercial applications, despite their demonstrated performance advantages. Switch packaging is quickly moving to the forefront as the dominant problem to be solved, since it significantly impacts both switch reliability and cost.

Conventional packaging methods have come up short in both cost and loss. Standard ceramic microwave packages cost ~\$50 each and have losses which are generally greater than the MEMS circuit they are trying to protect. Consequently, most MEMS development efforts are focused on reducing loss and cost utilizing wafer-level packaging (WLP) such as wafer bonding using anodic bonding, metal-metal, or glass-frit seals. However, these WLP techniques suffer from high cost (70-80% of total device cost) and require either hermetic via interconnects inside the package or careful design to obtain moderate interconnect losses through the seal ring. This seal ring occupies significant area and decreases the number of potential devices per wafer. In addition, most WLP techniques are not easily scalable to different RF device sizes, types, or frequencies.

A promising wafer-level packaging alternative to wafer-bonding is wafer-level micro-encapsulation (WL μ E). With this technique, individual "cages" are constructed over each switch using the same sacrificial micromachining techniques used to fabricate the RF MEMS switches [1]. Unlike most other WLP schemes, WL μ E requires no special RF transition through the package to achieve extremely low-loss and is easily scalable to different RF device sizes, types, or frequencies.

A key challenge with the ~1 nL cavities of WL μ E is to demonstrate good switch lifetimes in harsh environments. Fabricating and measuring the desired environment in nL-scale packages poses unique challenges. The He fine leak testing of MIL-883D is not fully applicable for cavity volumes <1,000 nL [2]. Fortunately, unlike resonators, the operation of RF MEMS switches is not adversely affected by oxygen, nitrogen, or helium. Instead, RF MEMS switch operation is very sensitive to humidity levels because the surface tension of adsorbed water molecules is sufficient to overcome the membrane restoring force and create stiction. For a switch design with a spring constant of 5-10 N/m, water vapor induced stiction at room temperature occurs between 30-50% RH. Therefore, *humidity* test procedures are being developed to investigate water diffusion into the micro-packages, utilizing dew point sensors and accelerated testing similar to [3].

Process

Wafer-level micro-encapsulated humidity sensors were fabricated on 150 mm Corning 7740 glass substrates and are shown in Figure 1. The dew point sensors consist of interdigitated electrodes in three size variations; 2.5 μ m, 5 μ m, and 10 μ m lines and spaces. These comb structures were fabricated in the switch electrode layer. The mask set was designed to simultaneously build the sensors and RF MEMS switches on the same wafer. A schematic cross-section of a WL μ E RF MEMS switch package is shown in Figure 2. A conventional switch process sequence through membrane pattern was utilized as: 1) wafer clean, 2) deposit/pattern/etch (D/P/E) 300 nm gold electrode, 3) D/P/E 250 nm SiO₂ switch dielectric, 4) electroplate 2.5 μ m copper transmission lines, 5) pattern organic sacrificial layer, and 6) D/P/E 350 nm aluminum alloy membrane.

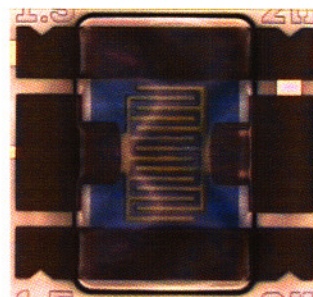


Figure 1. Photo of a microencapsulated package containing a dew-point sensor.

Instead of releasing the membrane at this point in the process flow, as would occur for unpackaged switches or other packaging schemes, an additional cage sacrificial layer was applied over the unreleased switch membrane. Next the dielectric cage was deposited. This cage sacrificial layer creates the desired separation between the membrane and packaging cage.

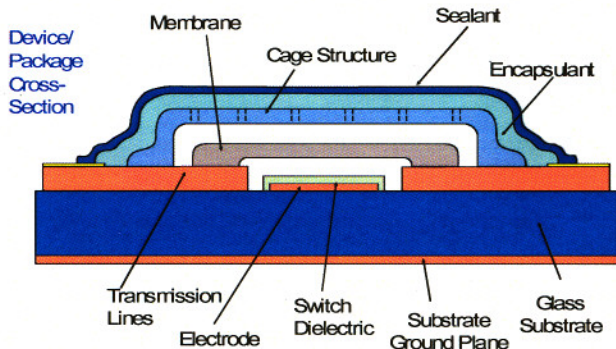


Figure 2. A cross-section of the microencapsulated package reveals a cage, encapsulation, and a sealant protecting the MEMS switch inside.

Holes were patterned and etched into the cage and the sacrificial layers were plasma etched to create a released switch with a packaging superstructure above it. After release, a liquid encapsulant, such as spin-on-glass (SOG) or Cyclotene series 4000 benzocyclobutene (BCB), was applied over the entire wafer while in a dry nitrogen atmosphere. The surface tension of the SOG or BCB ensures that it covers the cage structure but does not wick through the cage holes to encroach onto the switch. The SOG or BCB was then cured at 250°C to form a closed seal over the switch. At this point in the process flow, the micro-encapsulation provides the minimum level of protection from humidity. Additional sealant overcoats can be applied to increase the level of protection. However, to ascertain the minimum humidity protection of micro-encapsulation, some packaged dew point sensors were subjected to accelerated lifetime testing after the BCB was cured.

Results

RF Measurements - Detailed RF measurements up to W-band have been made on the packaging structures created by wafer-level micro-encapsulation. Measurements were made on a Cascade Summit 12000 test station connected to an Agilent 8510C vector network analyzer. Calibration was accomplished using Cascade's WinCal software with a probe-tip calibration (LRRM). Figure 3 demonstrates the insertion loss through 110 GHz for a simple transmission line and a transmission line with a microencapsulated package on top. The difference in insertion loss is barely discernable in this measurement. Similarly, the return losses of both structures are shown in Figure 3. The return loss shows an excellent impedance match across the band of measurement. More detailed measurements have been

performed over the 8-50 GHz range with a single-delay TRL calibration to de-embed all but the package performance. These measurements reveal an insertion loss on the order of 0.03-0.05 dB at 35 GHz.

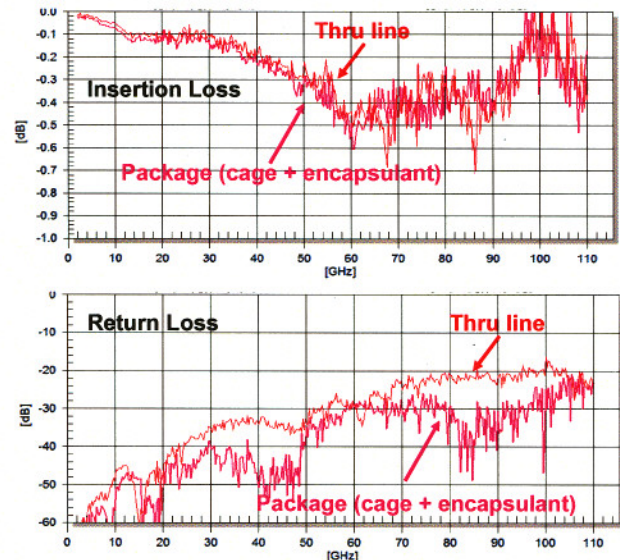


Figure 3. Comparison of transmission line and package losses reveals very little package loss up through 110 GHz.

Humidity: The dew point sensors were tested by measuring current versus voltage, in a Cascade Attoguard test station with a Keithley S4200 semiconductor parameter analyzer. To characterize the 2.5 μm sensor baseline operation, several micro-packages were pierced to allow either dry nitrogen or humid cleanroom air (20°C, 45% RH, dew point $\sim 7^\circ\text{C}$) to contact the sensor. The baseline sensors were baked out in dry nitrogen at 115°C for 10 minutes. Current-voltage measurements were taken in dry nitrogen with chuck temperatures of 100°C, 75°C, 50°C, 25°C, and 0°C. Figure 4 shows the I-V data for 100°C, 25°C, and 0°C. The current decreases with decreasing temperature. The measurement noise floor was measured with the probes in the up/open position and is shown in the bottom trace of each graph.

The dry nitrogen was turned off and the front panel of the test station was opened to allow the environment to reach equilibrium with the cleanroom air. The resulting I-V data for chuck temperatures of 25°C and 2°C are shown in Figure 5. As expected, the 2°C data shows a $>10^6$ increase in current, indicating the sensor is very sensitive to condensation. Comparing the 25°C dry nitrogen to humid cleanroom air data, Figures 4 and 5, the average current at 40V of the dew point sensors increases from $\sim 7 \times 10^{-13}$ A to $\sim 4 \times 10^{-11}$ A. This 60x increase in current is caused by the thin adsorbed water layer. The humid cleanroom air approximates where RF MEMS switches have been observed to exhibit water related stiction failure. A dew point sensor current of 4×10^{-11} A at 40V will be used to approximate this condition.

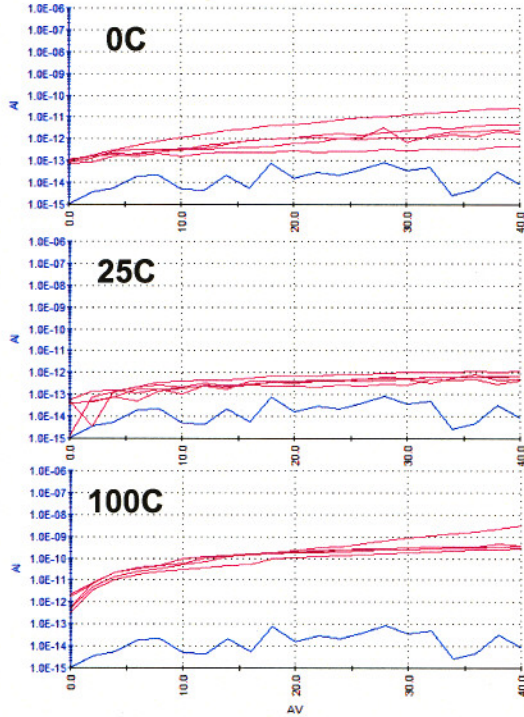


Figure 4 – I-V calibration curves for dew point sensors under dry conditions.

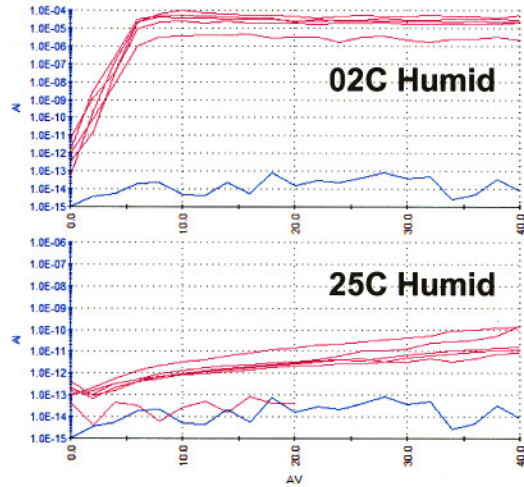


Figure 5 – I-V calibration curves for dew point sensors under humid conditions.

Fully packaged sensors were subjected to accelerated lifetime cycles and then I-Vs measured. The acceleration factor (AF), which relates the desired operating conditions to the accelerated test conditions, is based on the two-stress Eyring model [4] and modified by Halliberg and Peck [5] for humid environments,

$$AF = \frac{\left(RH^{-n} e^{\Delta E_a / kT} \right)_{\text{operating conditions}}}{\left(RH^{-n} e^{\Delta E_a / kT} \right)_{\text{accelerated conditions}}}$$

where RH is relative humidity, ΔE_a is the activation energy, k is Boltzman's constant, and T is absolute temperature.

The recommended values for ΔE_a and n are 0.9eV and 3, respectively.

In this experiment, samples were subjected to accelerated temperature and humidity conditions. The acceleration factor between standard room conditions (25°C, 50% RH) and the accelerated conditions (135°C, 100% RH) is $\sim 10^5$. Twelve micro-packaged dew point sensors on a single die received sequential accelerated test sequences (ATSS). Integrating the AF over a single ATSS profile gives an equivalent time of ~ 21 years at standard room conditions.

Figures 6 shows I-V curves of the packaged dew point sensors after 0, 1, 2, and 5 ATSSs, (0, 21, 42, and 105 years at standard room conditions). The micro-packaged sensor data indicates increasing adsorbed water inside the package with increasing accelerated test time, which is to be expected for a diffusion related phenomenon. Using 4×10^{-11} A as the threshold of package failure for an RF MEMS switch, the 50% failure rate would occur around 42 years at standard room conditions.

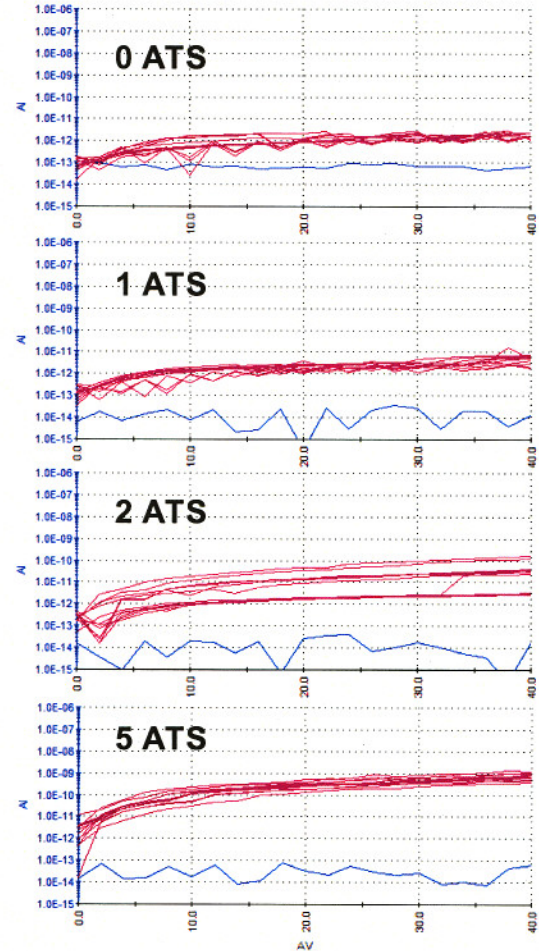


Figure 6. I-V response of dew point sensors with sequential accelerated test sequences.

Measurement of these dew point sensors at lower temperatures did not indicate an abrupt current increase at the anticipated dew point temperature. This may be

explained by the fact that the baseline sensors were exposed an essentially infinite source of water vapor which would continue to condense onto the sensor as long as the temperature is below the dew point. In contrast, a 1 nL package contains an extremely small volume of water vapor that can condense. If all the water vapor in a 1 nL package with an environment of 25°C, 50% RH, adsorbed onto the inside package surfaces, then the increased thickness would be only 0.3 angstroms of H₂O. According to Freund [6], the adsorbed water thickness on gold for 50% RH is 100-200 angstroms. It has been observed that an unpackaged RF MEMS switch will fail from moisture stiction between 30-50% RH, which should correspond to at least 100 angstroms adsorbed water. Therefore, as a 1 nL package is cooled below dew point, the adsorbed water thickness would insignificantly increase, and no abrupt dew point sensor current increase would occur.

Packaging Cost – The processes used to accomplish the micro-encapsulation of the MEMS device consist of standard semiconductor and MEMS fabrication processes. Table 1 outlines the required steps to fabricate both the MEMS switch and the packaged MEMS switch. Each step is rated according to the number of required “turns,” i.e. discrete fabrication steps. A typical layer might require pattern/deposit/lift or deposit/pattern/etch processes, which would be counted as three turns.

Table 1. Calculation of relative processing costs for MEMS switch fabrication and micro-encapsulation.

Process	Turns
Substrate clean	1
Switch electrode	3
Switch dielectric	3
Switch posts	3
Switch sacrificial layer	1
Switch membrane	3
Release	2
Package sacrificial layer	1
Package cage	3
Encapsulation	2
Unpackaged Switch Processes	16
Packaged Switch Processes	22
Package Cost (relative to total switch cost)	138%

Inspection of the table shows that microencapsulated switch requires only 38% more processing than an unpackaged switch. This means the packaging processes account for only 28% of the total packaged switch cost. This is a significant cost reduction compared to many conventional MEMS WLP strategies where the packaging accounts for 70%-80% of the total cost.

The cost equation is further enhanced by the fact that micro-encapsulation requires no additional seal ring to package the devices. Glass-frit WLP techniques typically require a seal ring and interconnect area of 0.3-0.7 mm per

side. Assuming a 1 x 2 mm RF MEMS phase shifter and a 150 mm wafer (with a 5 mm exclusion zone and 150 μ m saw kerf), there are 2152 potential phase shifter die per wafer for glass-frit WLP. The same circuits without a 0.5 mm seal ring/interconnect area all around the die yields 6000 potential circuits per wafer. This produces 2.8x more phase shifters for the same wafer area. Eliminating the seal ring area greatly increases the number of available circuits per wafer, which significantly reduces the cost per circuit.

Conclusion

A process has been developed to effectively package RF MEMS switches using a new technique called wafer-level micro-encapsulation. This technique is designed to be completely compatible with high-performance RF MEMS switch fabrication. The packages created with this technique exhibit extremely low loss at frequencies greater than 100 GHz. Thorough characterization of these packages is an on-going activity, but initial results are very promising. Preliminary accelerated lifetime data of wafer level micro-encapsulation indicates an RF MEMS lifetime of ~40 years at room conditions. Additional sealant layers will increase this further. The compatibility of this package with MEMS switch processing, the extremely low loss and RF parasitics, and the potential for near-hermetic encapsulation makes these packages a promising solution for packaging and protecting a variety of MEMS devices, including RF MEMS switches.

Acknowledgements

This research was sponsored by the Defense Advanced Research Projects Agency under Contract F33615-03-C-7003. Thanks goes to Innovative Micro Technology for fabrication, and Dr. John Papapolymerou and Georgia Tech for support in RF measurements.

References

- David I. Forehand, "Low Temperature Wafer-Level Micro-encapsulation," *U.S. Patent pending*.
- Jourdain, A., P. De Moor, S. Pamidighantam, and H. A. C. Tilmans, "Investigation of the Hermeticity of BCB-Sealed Cavities for Housing RF MEMS Devices," *MEMS 2002 IEEE Intl. Conf.*, pp.677-80.
- Margomenos, A. and L. Katehi, "Fabrication and Accelerated Hermeticity Testing of On-Wafer Package for RF MEMS," *IEEE Trans. Microwave Theory Tech.*, vol. 52, no. 6,, pp. 1626-1636, June 2004.
- Eyring, H., H. Lin, and S. Lin, *Basic Chemical Kinetics*. New York: Wiley, 1980.
- Halliberg, D. and S. Peck, "Recent humidity accelerations, a base for testing standards," *Qual. Reliab. Eng. Int.*, vol. 7, pp. 169-180, 1991.
- Freund, J., J. Halbritter, and J. Horber, "How Dry Are Samples? Water Adsorption Measured by STM," *Microsc. Res. Tech.*, vol. 44, pp. 327-338, 1991.